

## PART B - FEE(S) TRANSMITTAL

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 P.O. Box 1450  
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7590

09/14/2006

Troxell K Snyder  
 Otis Elevator Company  
 10 Farm Springs  
 Farmington, CT 06032

10/24/2006 HGBREN2 00000055 10517725

01 FC:1501 1400.00 OP  
 02 FC:1504 300.00 OP



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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Ana R. Rivera	(Depositor's name)
<i>Ana R. Rivera</i>	(Signature)
10/24/06	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/517,725	12/10/2004	Franck Det	OT-5076	6049

TITLE OF INVENTION: SAFETY TOP BALUSTRADE FOR A CAR OF A MACHINE ROOM-LESS ELEVATOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	12/14/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
PICO, ERIC E	3654	187-401000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1	_____
2	_____
3	_____

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

OTIS ELEVATOR COMPANY

FARMINGTON, CT (USA)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

## 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Lisa A. Bongiovi*

Date

10/24/06

Typed or printed name

Lisa A. Bongiovi

Registration No.

48,933

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**FACSIMILE COVER SHEET**

OTIS ELEVATOR COMPANY  
OTIS INTELLECTUAL PROPERTY DEPARTMENT  
FARMINGTON, CONNECTICUT

OUR FAX NUMBER IS: (860) 998-3886

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TELEPHONE: (860) 676-5761

ADDRESSEE: **Mail Stop Issue Fee** FAX: 571-273-2885  
SENDER: Ana Rivera  
TOTAL NUMBER OF PAGES INCLUDING LEAD SHEET: 3  
DATE: October 24, 2006  
RE: US Patent Application 10/517,725  
SAFETY TOP BALUSTRADE FOR A CAR OF A MACHINE ROOM-  
LESS ELEVATOR (Det et al.)  
Our File: OT-5076

I hereby certify that the following document (attached) is being facsimile transmitted to the U.S. Patent and Trademark Office at (571) 273-2885 on October 24, 2006, for filing in the above-referenced application:

- Issue Fee Transmittal Form (1 page); and
- Credit Card Form PTO-2038 (1 page).

  
Ana R. Rivera

**-NOTICE-**

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